



MaxPol Package: Numerical Differentiation for Forward Imaging Problems

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Intuitive Examples from Forward Imaging Applications

Gradients (1st order)

$$\nabla = \begin{bmatrix} \partial/\partial x \\ \partial/\partial y \end{bmatrix}$$

e.g. Image edge strength ($|\nabla I|$)



Hessian (2nd order)

$$H = \begin{bmatrix} \partial^2/\partial x^2 & \partial^2/\partial x\partial y \\ \partial^2/\partial y\partial x & \partial^2/\partial y^2 \end{bmatrix}$$

e.g. Image sharpening ($I - \alpha \nabla^2 I$)





Outline

Discrete Approximation

Design of Lowpass Differentiation

Introduction to MaxPol framework

Directional (Steerable) Derivatives

Applications

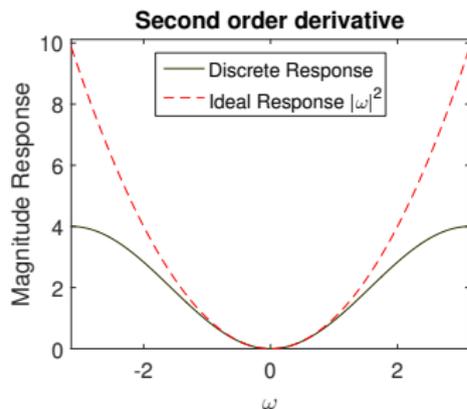
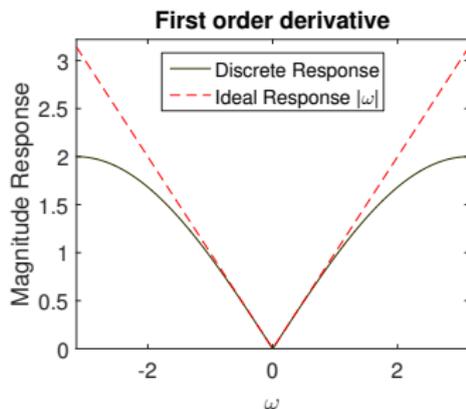


How to approximate numerical derivatives?

- 1 First-order-accuracy is a common practice in image processing

$$\frac{\partial}{\partial x} \approx [-1, 1] \quad \frac{\partial}{\partial y} \approx \begin{bmatrix} -1 \\ 1 \end{bmatrix} \quad \frac{\partial^2}{\partial x^2} \approx [1, -2, 1] \quad \frac{\partial^2}{\partial y^2} \approx \begin{bmatrix} 1 \\ -2 \\ 1 \end{bmatrix}$$

- 2 Corresponding filter responses (DFT)



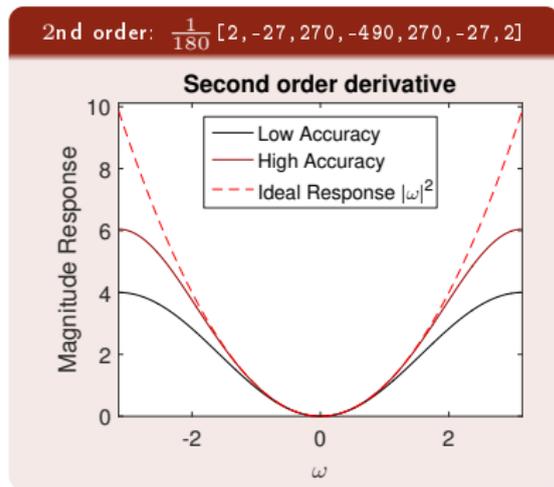
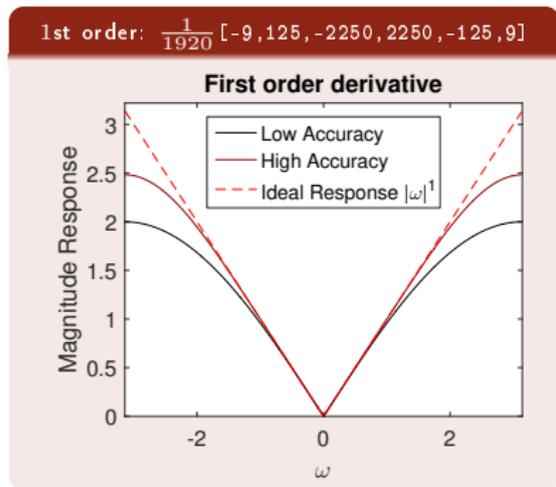
- 3 Downsides

- Low approximation accuracy
- Noise sensitive



How to increase approximation accuracy?

- 1 Increase order of polynomials (more tap-lengths)
- 2 Well known study case in finite difference methods¹



- 3 Noise sensitivity increases as a side-effect
- 4 What should we do then?

¹Comprehensive study by [Fornberg 1988, 1998], [LeVeque 2007]

Smooth differentiation in image processing

1 Cascade implementations

- Apply smoothing kernel (aka lowpass filter) e.g. Gaussian
- Apply numerical difference kernel e.g. low \leftrightarrow high accuracy



2 All-in-one implementations

- 2D Gaussian

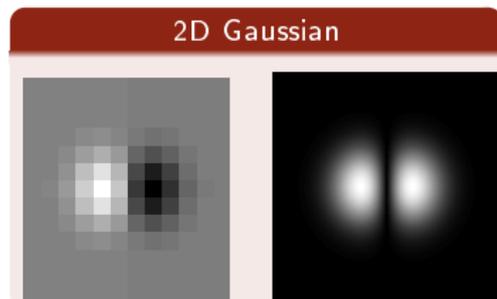
$$-\frac{x}{2\pi\sigma_x^3\sigma_y} \exp\left(-\frac{x^2}{2\sigma_x^2} - \frac{y^2}{2\sigma_y^2}\right)$$

- 1 1st OD Gaussian

$$-\frac{x}{\sqrt{2\pi}\sigma_x^3} \exp\left(-\frac{x^2}{2\sigma_x^2}\right)$$

- 2 Gaussian $\frac{1}{\sqrt{2\pi}\sigma_y} \exp\left(-\frac{y^2}{2\sigma_y^2}\right)$

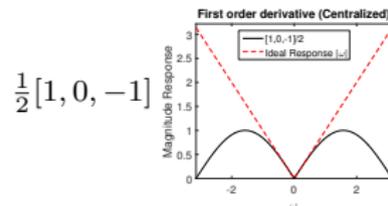
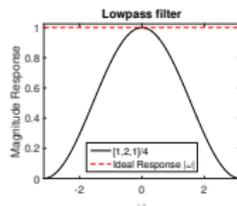
- Sobel, Prewit, and Roberts masks



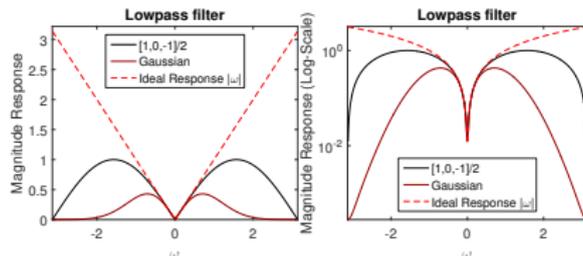
Why Sobel mask is so popular?

- 1 Recall Sobel 3×3 mask² for horizontal differentiation

$$\frac{\partial}{\partial x} \approx \frac{1}{8} \begin{bmatrix} 1 & 0 & -1 \\ 2 & 0 & -2 \\ 1 & 0 & -1 \end{bmatrix} \quad \begin{array}{c} \text{Sobel mask} \\ \text{Image} \end{array} \quad \frac{1}{4} \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix}$$



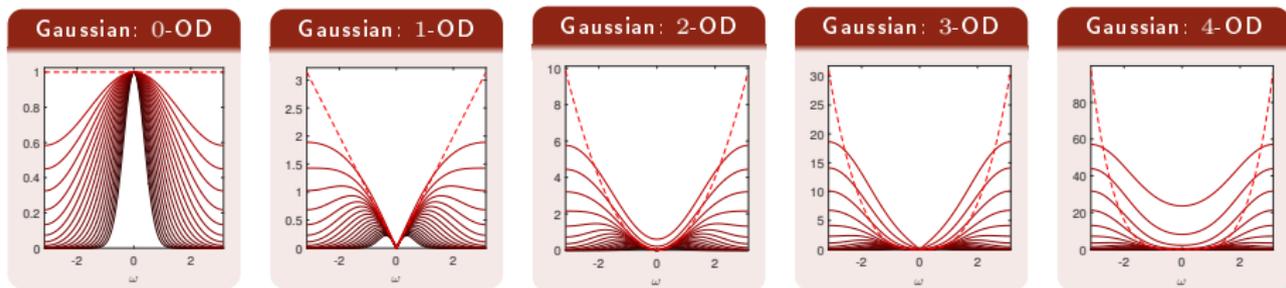
- 2 First order centralized derivative is zero on Nyquist frequency
3 Less sensitive to noise as opposed to staggered scheme $[-1, 1]$
4 Order of accuracy can be increased by increasing tap-length
5 Let's compare it with Gaussian derivative ($\sigma = \sqrt{2}$)



- 6 Gaussian performs better on canceling noise artifacts
²[Sobel 1968]

Gaussian for lowpass differentiation

- 1 Gaussian kernels can be tweaked in different scales σ
- 2 Seminal works on scale-space operations³
- 3 Varying σ is equivalent to manipulation of cutoff frequency
- 4 Remove high frequency components (lowpass filter)



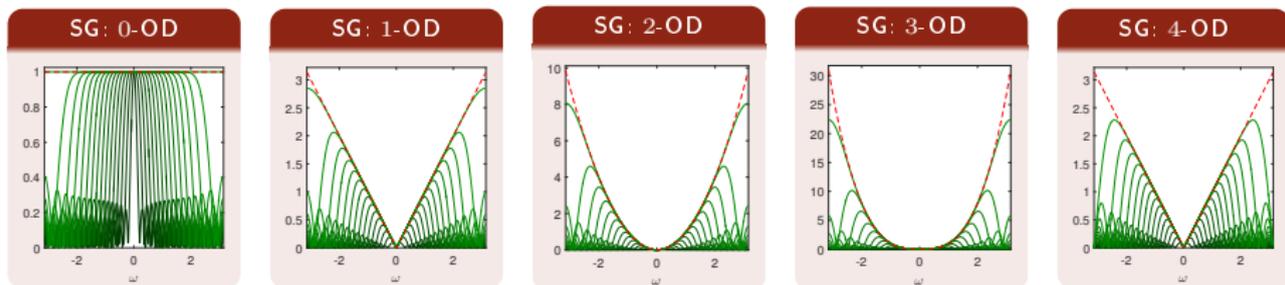
- 5 Decreasing σ obliterates filter with low-decay response
- 6 Limited cutoff frequency is offered by Gaussian filters
- 7 Less accurate on mid-band frequency and higher
- 8 Many images contain rigid textures

³[Lindeberg, 1998, 2011, 2013, 2017], [Lowe 2004]



Design of a proper lowpass differentiation

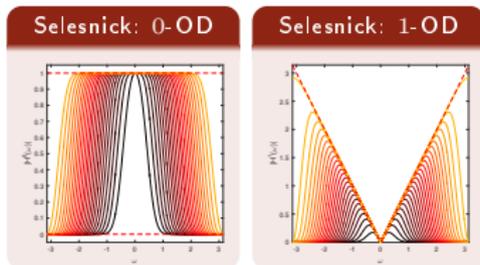
- Designing requirements
 - ① set a costume range of cutoffs $0 \leq \omega_c \leq \pi$
 - ② maintain sharp roll-off factor to minimize transition band
 - ③ observe free-residual artifact on the stop-band
- Sub-optimal solutions via Savitzky-Golay (SG)
 - ① polynomial based design in Fourier domain
 - ② cutoff is set by truncating high frequency harmonics
 - ③ minimizes the Gibbs energy in least-square sense



- Side-lobe artifacts are directly tied with human visual perception error

Optimum design by maximally-flat lowpass filters

- Design a linear-phase lowpass FIR filter such that
 - ① filter traces the ideal response up to a certain cutoff level
 - ② stop-band is flattened by making the response tangent to zero
- Zero and first order designs introduced by Selesnick⁴

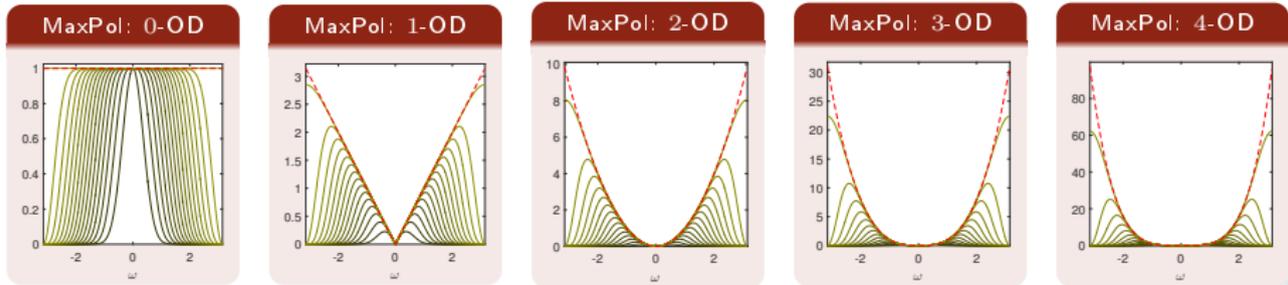


- Refer to `maxflat.m` in MATLAB (aka generalized Butterworth)
- Extension to higher orders requires to solve a non-homogeneous high order nonlinear differential equations
- Many imaging applications require second order differentiation
- E.g. curvature, sharpening, tensor analysis, etc
- Smoothing kernel in Sobel $[1, 2, 1]$ is a MaxFlat lowpass filter!

⁴[Selesnick 1998, 1999, 2002]

Maximally Polynomial (MaxPol) lowpass differentiation

- MaxPol⁵ generalizes the design to higher order derivatives
- Incorporates undetermined coefficients in MaxFlat framework
- Closed form solutions accommodated by Taylor series expansion



⁵[Hosseini-Plataniotis 2017]



Comparison of different methods for lowpass differentiation

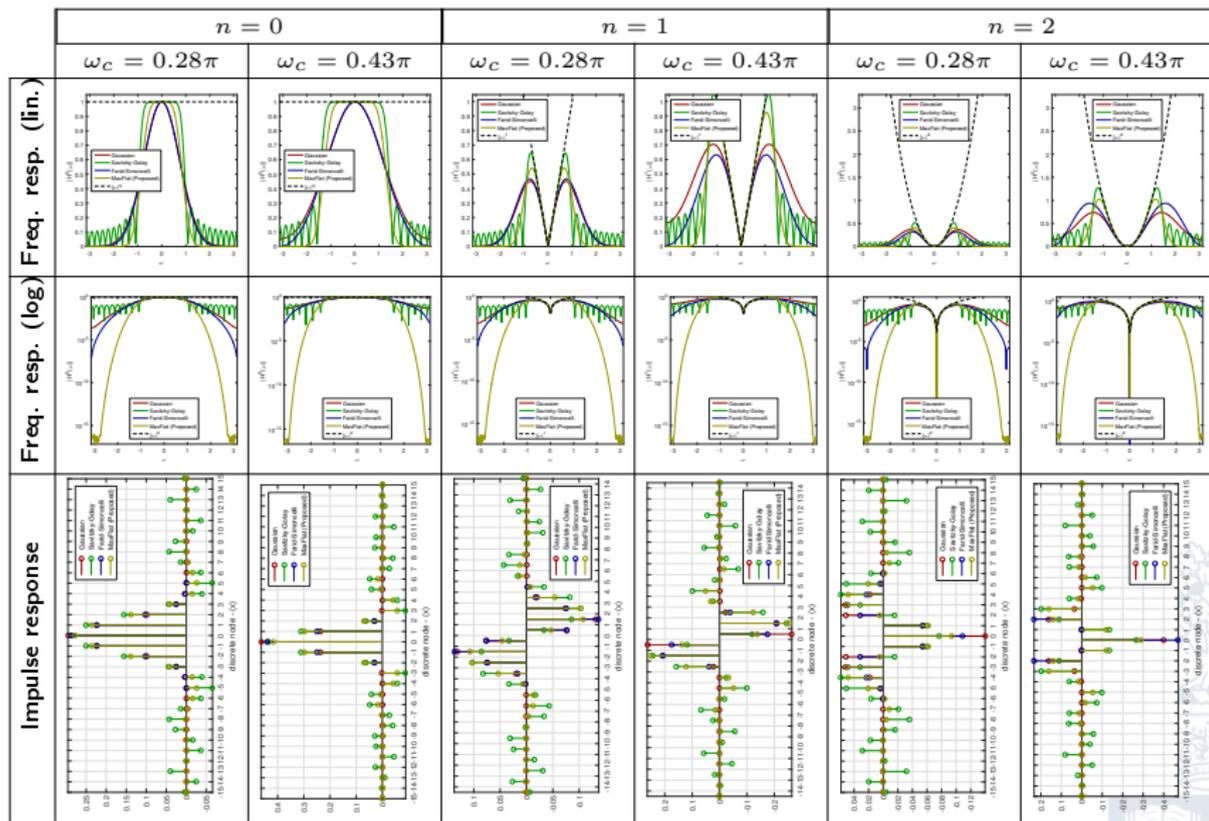
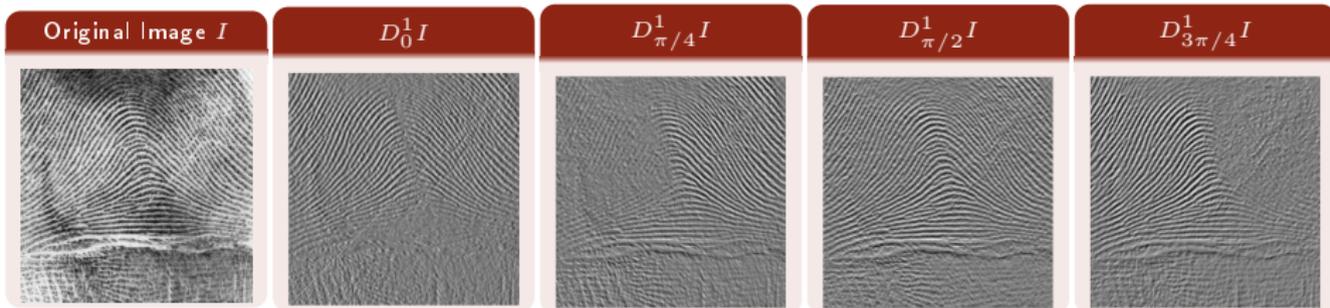


Image directional differentiation

- Textural information in images are oriented
- Consider horizontal, vertical and slanted line features



- Maximum edge variation is perpendicular to texture orientation
- Encode by directional differentiation e.g. 1st order $D_{\vec{u}}^1 I = \vec{u} \cdot \nabla I$



2D steerable convolution kernels

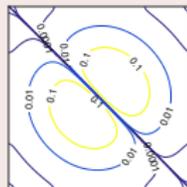
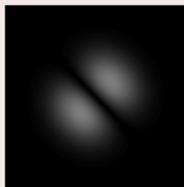
- n th order directional differentiation $D_{\vec{u}}^n I = \sum_{k=0}^n \binom{n}{k} u_x^{n-k} u_y^k \frac{\partial^n I}{\partial x^{n-k} \partial y^k}$
- Impulse response obtained by $K_{2D} = \delta(x, y)$
- Substitute MaxPol kernels for n th order differentiation

| | Impulse Response | | | | Frequency Response | | | |
|---------|------------------|--------------------------|--------------------------|---------------------------|--------------------|--------------------------|--------------------------|---------------------------|
| $n = 0$ | | | | | | | | |
| | $\theta = 0$ | $\theta = \frac{\pi}{4}$ | $\theta = \frac{\pi}{2}$ | $\theta = \frac{3\pi}{4}$ | $\theta = 0$ | $\theta = \frac{\pi}{4}$ | $\theta = \frac{\pi}{2}$ | $\theta = \frac{3\pi}{4}$ |
| $n = 1$ | | | | | | | | |
| $n = 2$ | | | | | | | | |
| $n = 3$ | | | | | | | | |
| $n = 4$ | | | | | | | | |

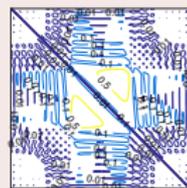
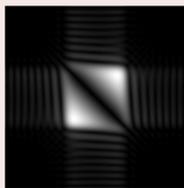
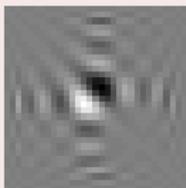


- Compare the sharp localization with steerable Gaussian⁶

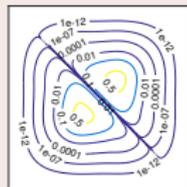
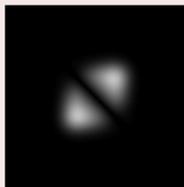
Gaussian, first order differentiation, steering angle = 45° , $\omega_c = 1$ (rad)



Savitzky-Golay, first order differentiation, steering angle = 45° , $\omega_c = 1$ (rad)



MaxPol, first order differentiation, steering angle = 45° , $\omega_c = 1$ (rad)



⁶[Freeman-Adelson 1991], [Jacob-Unser 2004]

Example of differentiations along four directions

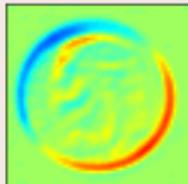
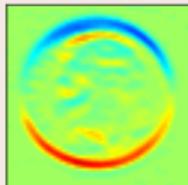
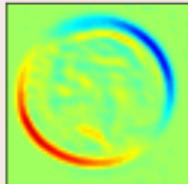
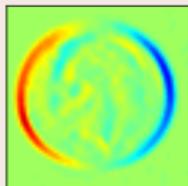
- steering angles

$$\theta = \left\{0, \frac{\pi}{4}, \frac{\pi}{2}, \frac{3\pi}{4}\right\}$$

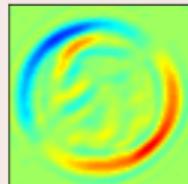
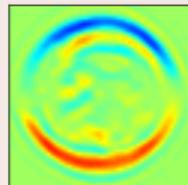
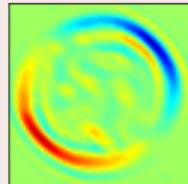
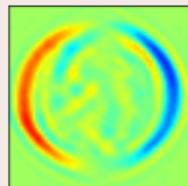
Original Image



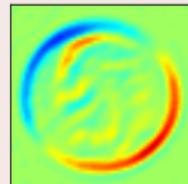
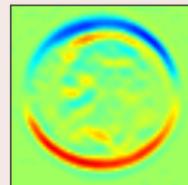
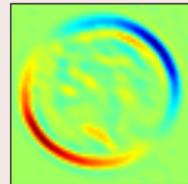
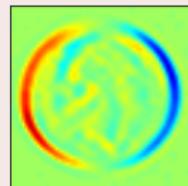
Gaussian



Savitzky-Golay

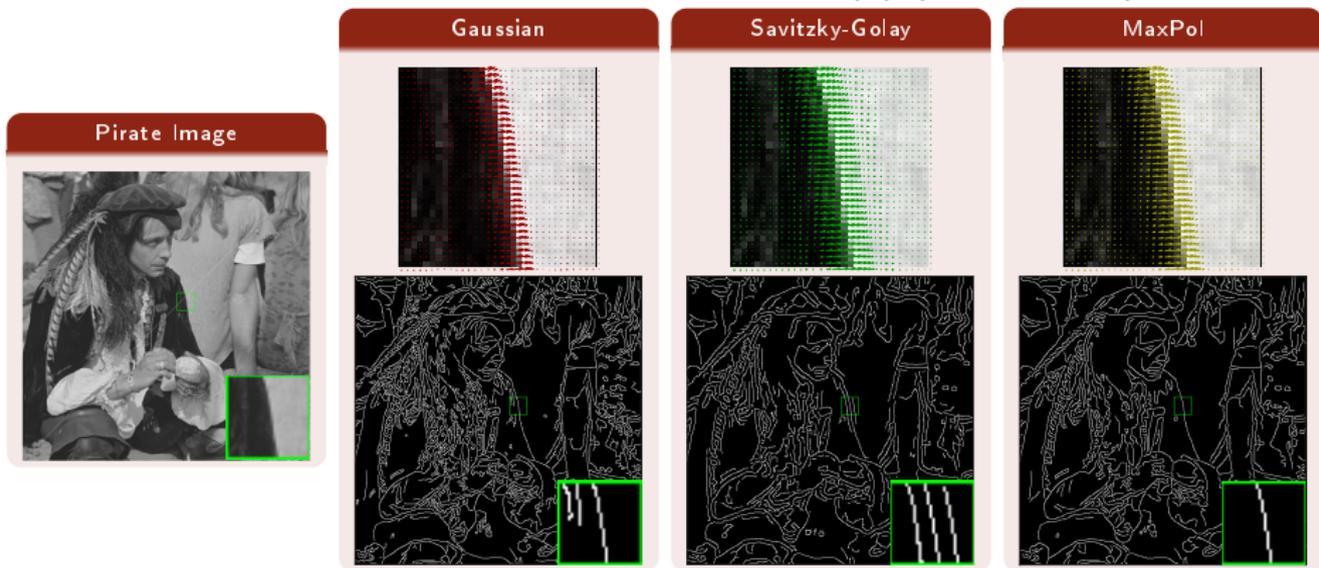


MaxPol



Canny edge detection algorithm

- Canny⁷ presents image edges in abstract level
 - ① Smooth gradients along horizontal and vertical axes
 - ② Edge thinning by non-maximum suppression technique
 - ③ Edge tracking by hysteresis method
- Use different method of differentiation in (1) ($\omega_c = 0.75\pi$)



⁷[Canny 1986]

Unsharp masking

- Enhance image edges by band-pass filter ⁸ i.e. $I_{\text{sharp}} = I - \alpha \nabla^2 I$
- Laplacian of Gaussian (LoG) commonly used for sharpening
- Different Gaussian scales represented rigid textures
- Lets substitute MaxPol instead of Gaussian!



⁸[Rosenfeld-Kak 1982], [Polesel-Ramponi-Mathews 2000]

Image sharpening continued

Original



Gaussian



Savitzky-Golay



MaxPol



Regular wavelet



Edge avoid wavelet



Multiscale edge preserving



Mixed domain filtering



- MaxPol provides generalized framework for numerical differentiation
 - ① arbitrary order of differentiations
 - ② flexible cutoff frequency from lowpass to fullband
 - ③ sharp roll-off
 - ④ free artifacts on stopband
- MaxPol provides 2D steerable kernels for differentiation
- Powerful toolbox covering different aspects of low-level image processing





Thank You!

